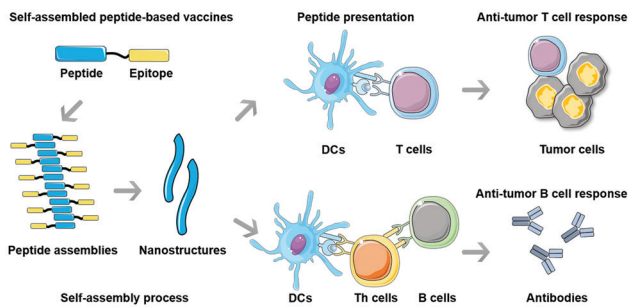


Peptide Assemblies as Promising Tumor Vaccines: Current Platforms and Progress

Bihan Wu and Huaimin Wang

Graphical abstract



Self-assembled peptide-based vaccines, consisting of epitope-bearing peptides, can form diverse nanostructural morphologies, which could be endocytosed and presented by DCs to prime anti-tumor T cells, resulting in the induction of cellular and humoral immunity. Meanwhile, the platform can also be presented to Th cells, leading to subsequent T cell-dependent anti-tumor B cell response.

Peptide Assemblies as Promising Tumor Vaccines: Current Platforms and Progress

Bihan Wu¹ and Huaimin Wang^{1,*}

Introduction

Peptide-based tumor vaccines usually contain epitope peptides from tumor-specific antigens that can be internalized by antigen-presenting cells (APCs) and presented on the surface to stimulate T cell responses against the tumor; however, the development of peptide-based vaccines has been challenged by imprecise antigen display and the use of immune adjuvants, the underlying mechanism of which has not been well-characterized. The self-assembled peptides mimic the higher-order protein structures for presenting peptide antigens. Thus, the self-assembled peptides have attracted increasing attention in recent years. In addition, the self-assembled peptides also have several advantages, including biocompatibility and biodegradability, multivalency, ease of design and functionality, and controlled formation of nanometric blocks, which enable scientists to generate immunogenic self-adjuvant peptide assemblies to elicit strong immune responses and develop tumor vaccines for immunotherapy [1–4].

This work describes current platforms and present efforts in developing self-assembled peptides as promising tumor vaccines. We provide a timeline to outline the major developments of the self-assembled peptide-based vaccines from our point of view (Figure 1). The pros and cons of three approaches (lipopeptides, self-assembled epitope-bearing peptides, and peptide-based hydrogels) are discussed. We also explore recent advances in the development of peptide-based assemblies for *in situ* tumor vaccines. Finally, we propose our perspectives on the major challenges to clinical application and developing strategies.

Self-assembled peptide

Self-assembly is a spontaneous process by which components are self-organized to form well-ordered structures. In the first stage of the self-assembly process, the monomeric components are soluble. When a trigger is applied to change the solution conditions, the monomeric components become less soluble and are able to associate with each other. The self-assembly peptides can form diverse morphologies (e.g., nanoparticles, nanofibers, nanobundles, and nanotubes) driven by non-covalent interactions, such as hydrogen bonding, hydrophobic interactions, electrostatic interactions, and aromatic π - π stacking. The individual nanofibers can then entangle to form 3D network structures, which have the ability to absorb and retain a large amount of water to form a solid-like hydrogel. The self-assembling processes of the peptides vary with the change in the external environment, including the ionic strength, solvents, enzymes, pH, light, and temperature [5–7]. These factors make it possible to achieve widespread application by controlling the peptide self-assembly processes [8].

Self-adjuvant peptide vaccine

The strategies for using self-assembly peptides to prepare anti-cancer vaccines for active immunization include lipopeptides that contain long-chain lipids and self-assembled epitope-bearing peptides containing adopted β -sheets and α -helical structures with nanoparticle and nanofiber morphologies [9, 10].

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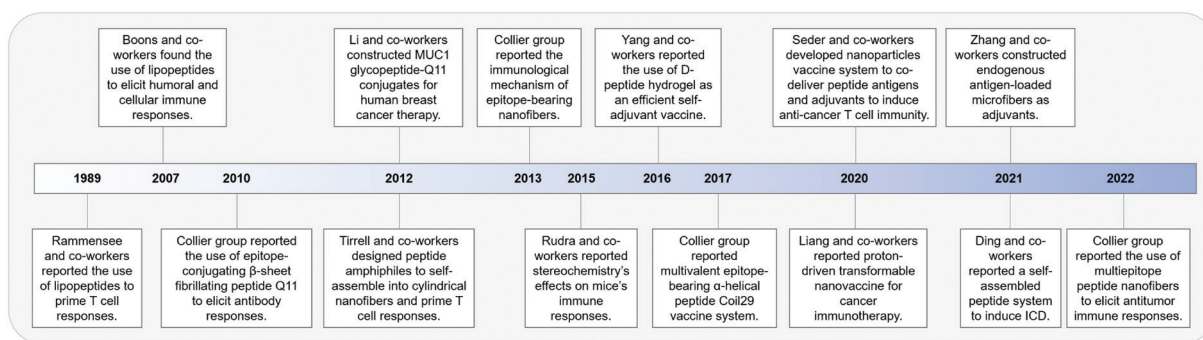


Figure 1 Timeline of the major developments in the field of self-assembled peptide-based tumor vaccines.

In 1989 Rammensee and co-workers [11] reported for the first time that synthetic viral lipopeptides covalently linked to tripalmitoyl-S-glycerylcysteinyl-seryl-serine (P_3 CSS) could prime cytotoxic T lymphocytes efficiently *in vivo*. This concept led to the development of lipopeptides containing glycopeptides, which are highly overexpressed in cancer cells, to circumvent the immunosuppressive evasion of the tumor. In 2007, Boons and co-workers [12] showed that a three-component vaccine composed of an immunoadjuvant lipid, a T-helper epitope peptide, and a tumor-associated mucin 1 (MUC1) glycopeptide could elicit high humoral and cellular immune responses in mice (Figure 2A). After that, Tirrell and co-workers [13] designed peptide amphiphile with a synthetic lipid tail that does not stimulate toll-like receptors (TLRs). This system self-assembled into cylindrical nanofibers, inducing a cytotoxic T cell response in mice (Figure 2B) [13]. These studies illustrate that self-assembled peptides are emerging as effective biomaterials for developing self-adjunct vaccine systems [14].

Over the past few decades, vaccine design using peptides that form β -sheets has been proven to display high densities of antigens on their surface and elicit potent responses without the need for additional adjuvants. In 2010, the Collier group [15] observed strong antibody responses by non-covalently assembling ovalbumin (OVA) epitope peptide into nanofibers using a short β -sheet fibrillating peptide Q11 (Ac-QQKFQFQFEQQ-Am), suggesting that self-assembled peptides can serve as efficient and chemically-defined adjuvants. Soon thereafter, the Collier group [16] showed that immunization with epitope-bearing β -sheet-rich nanofibers activated dendritic cells, elicited antigen-specific differentiation of T cells into T follicular helper cells, and produced high-titer and high-affinity immunoglobulin G. Li and co-workers [17] also applied this strategy when constructing MUC1 glycopeptide-Q11 conjugates for cancer therapy. The synthetic vaccine was molecularly defined and elicited a strong antibody response against a human breast tumor [17]; however, the application of this system was limited by the imprecise mechanism of the epitope position, the lengths of the β -sheet fibrillating peptide, and lateral interactions between nanofibers. Thus, the Collier group [18] applied an α -helical peptide to construct a vaccine system and in 2017 reported an α -helical peptide vaccine containing Coil29 (QARILEADAEILRAYARILEAHAEILRAQ) and different epitopes (Figure 2C). APCs readily internalize

the nanofibers and elicit strong T cell responses. This work represents the first demonstration of a self-adjunct vaccine delivery platform based on α -helical peptide nanofibers. Recently, multi-epitope-bearing Coil29 peptides against the tumor were developed. This system generates strong anti-cancer effects in mice and has elucidated the potential clinical benefits for cancer therapy [19].

The effectiveness of self-adjunct tumor vaccines encourages researchers to design other vaccine candidates to present peptide epitopes on the surface of nanostructures [20]. Seder and co-workers [21] developed a vaccine system that self-assembled into nanoparticles (~20 nm) to co-deliver peptide antigens and adjuvants to induce anti-cancer T cell immunity. The formation of nanoparticles improves the solubility of hydrophobic peptide antigens, enhances the accumulation of nanoparticles in lymph nodes, and further increases the uptake by APCs. Unlike other vaccines using self-assembled nanofibers, Seder and co-workers [21] demonstrated successful intravenous vaccination and overcame the formulation limitations of current platforms (Figure 2D); however, the endosomal trapping of tumor antigens limits the efficiency of vaccination strategies. In these cases, the stimuli-induced self-assembly system holds great promise for improving the efficacy of vaccines [22]. For example, Liang and co-workers [23] presented a proton-driven nanotransformer-based vaccine that mechanically disrupts the endosomal membrane and delivers the peptide to the cytoplasm directly by forming assembled nanosheets (Figure 2E).

Short peptide-based materials that contain 3D networks of nanofibers are being explored as novel and promising immunostimulants for improving the biostability and bioactivity of antigen peptides. Such approaches include the D-amino acid self-assembling peptides and antigen-bearing hydrogels. Initially, the replacement of L-amino acids with D-enantiomers was applied to protect the peptide against enzyme digestion *in vivo* and enhance the stability of peptide therapeutics. Rudra and co-workers [24] reported stereochemistry effects on murine immune responses. The model peptide antigen, OVA, was linked to the KFE8 peptide, which is composed entirely of D-amino acids. The results demonstrated that the D-amino acid peptide nanofibers enhanced the antibody response and response persistence compared to L-amino acid nanofibers.

Considering the drug-carrying ability and shear-thinning property of hydrogels, researchers have designed several

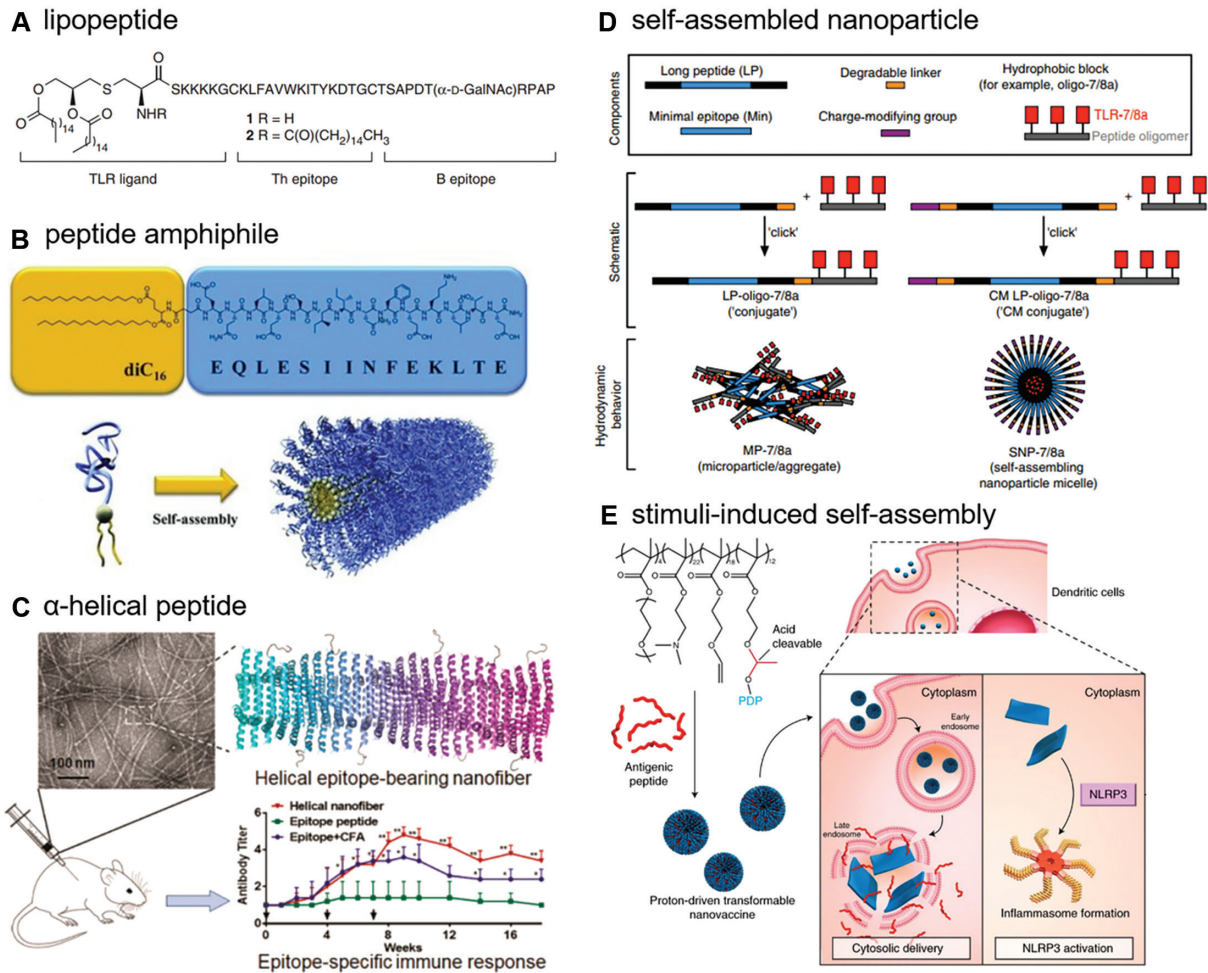


Figure 2 Schematic of modular components of self-assembled peptide-based vaccines. A, The lipopeptide structure. The vaccine composed of a tumor-associated epitope derived from MUC1, a Th epitope peptide, and a TLR ligand Pam₂-CysSK₄. Reproduced with permission from reference 12 (copyright 2007, Nature Publishing Group). B, The peptide amphiphile and cylindrical nanofiber structures. A dipalmitic acid tail (yellow) is attached to the N-terminus of the epitope peptide (blue) from OVA protein. Reproduced with permission from reference 13 (copyright 2012, Wiley). C, The α -helical epitope-bearing nanofiber structure. Epitopes include the CD4⁺ T-cell epitope, PADRE, the CD8⁺ T-cell epitope, SIINFEKL, and the B-cell epitope from the epidermal growth factor receptor class III variant. Reproduced with permission from reference 18 (copyright 2017, American Chemical Society). D, The self-assembled nanoparticle components. The antigen epitope-bearing long peptide is conjugated to a charge-modifying group and a hydrophobic block at the N and C termini of the peptide through degradable linkers, respectively. Reproduced with permission from reference 21 (copyright 2020, Nature Publishing Group). E, The proton-driven transformable tumor vaccine. The pyrene-conjugated D-peptide can be cleaved in an acidic environment and re-assemble into nanosheets. Reproduced with permission from reference 23 (copyright 2020, Nature Publishing Group).

vaccine systems based on peptide-based hydrogels. In 2016 Yang and co-workers [25] reported the first example of a D-peptide hydrogel as an efficient self-adjuvant vaccine, providing an alternative strategy for vaccine development. The Nap-G^DF^DF^DpY-OMe peptide co-assembled with OVA peptide to form a hydrogel upon alkaline phosphatase (ALP) hydrolysis, which could strongly induce antibody production and cytokines secretion [25]. Yang and co-workers [26] further concluded that the Nap-G^DF^DF^DY hydrogel evoked both humoral and cellular immune responses. Moreover, the Nap-G^DF^DF^DYTKPR hydrogel discovered on this basis combined tuftsin (TKPR) and Nap-G^DF^DF^DY, which showed an excellent anti-tumor efficacy by stimulating a powerful CD8⁺ T-cell immune response, thus enhancing the phagocytic activity of macrophages and promoting the maturation of DCs (Figure 3A) [27]; however, the effects of the amino acid sequence, the nanostructure, and the properties of hydrogels on the immunostimulatory potency have not been

fully elucidated. By substituting and changing the sequence of the Nap-G^DF^DF^DY peptide, Yang and co-workers [28] demonstrated that the number of hydrophobic groups and the position of the amino acid were indispensable, which provided insight into the rational design of peptide hydrogel adjuvants.

Recently, numerous attempts have been made to discover peptide-based assemblies to serve as tumor vaccines and activate a T cell response without the aid of external antigens and other adjuvants. Yan and co-workers [29] described a self-assembled peptide fiber formed by Fmoc-FF and poly-L-lysine (PLL) in 2017 that self-assembles to form a hydrogel containing helical nanofibers through electrostatic interactions and formation of disulfide bonds. The hydrogel suppresses tumor growth by activating T cell responses [29]. Zhang and co-workers [30] constructed endogenous antigen-loaded microfibrers as adjuvants and antigen vehicles based on a DFY

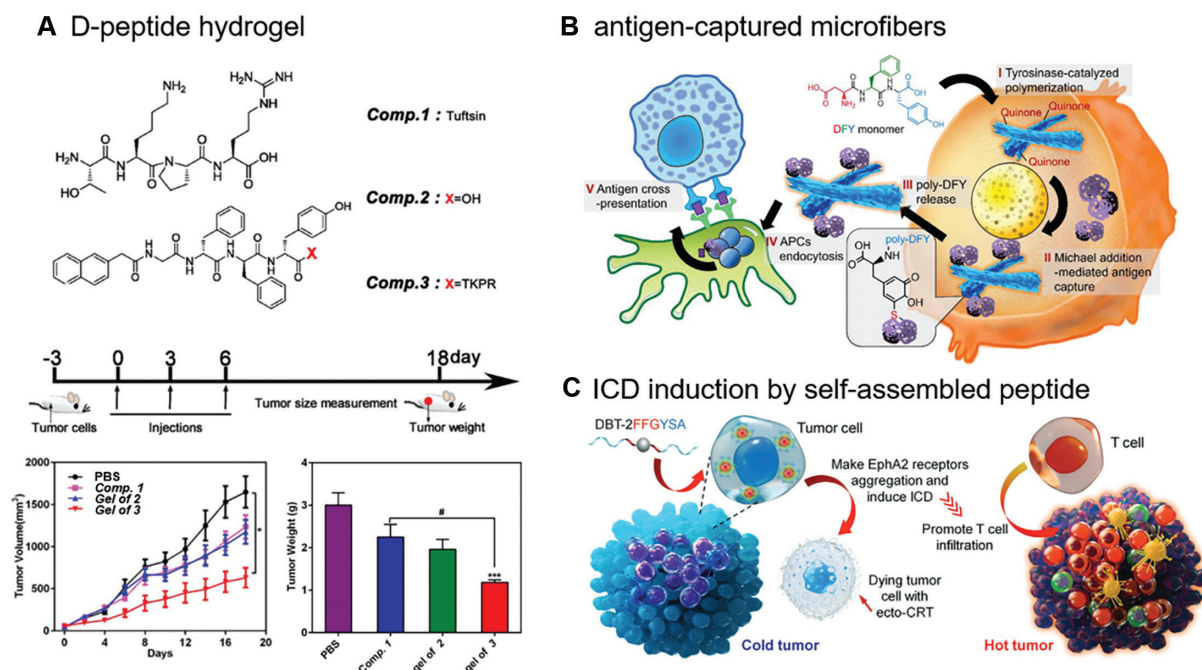


Figure 3 Recently developed self-assembled peptide-based tumor vaccines. A, Chemical structures and anti-tumor effect of Nap-G^DDF^D-^DYTKPR peptide. Reproduced with permission from reference 27 (copyright 2012, Royal Society of Chemistry). B, The immunomodulatory mechanism of DFY peptide. The DFY peptide transforms from soluble monomers into the antigen-captured microfibers. Reproduced with permission from reference 30 (copyright 2021, American Chemical Society). C, The schematic illustration of transformation of tumor immune microenvironment mediated by a self-assembled peptide. Reproduced with permission from reference 31 (copyright 2021, Wiley).

tripeptide. This system successfully captures tumor antigens and delivers the tumor antigens to immune cells through tyrosinase-catalyzed polymerization and Michael addition cross-linking (Figure 3B) [30]. Furthermore, Ding and co-workers [31] reported a self-assembled peptide system containing a fluorophore, a self-assembling

sequence (FF), and two tyrosine kinase Eph receptor A2 (EphA2) binding arms. The self-assembly of peptides promotes receptor aggregation and induces immunogenic cell death (ICD), which serves as a natural adjuvant, thus converting immunologically-cold tumors to hot tumors (Figure 3C) [31].

Table 1 Summary of Self-Assembled Peptide-based Tumor Vaccines

Strategies	Features	Nanostructures	Advantages	Disadvantages	References
Lipopeptides	Immunoadjuvant lipid and epitope peptide	Cylindrical nanofibers	Accurate structure	The need for additional adjuvants	[11–13]
Epitope-bearing peptides	Self-assembled β -sheet peptide	Nanofibers	Self-adjuvant and multi-valency	Imprecise mechanism of the epitope position, the lengths of the peptide, and the lateral interactions between nanofibers	[15–17]
	Self-assembled α -helical peptide	Nanofibers	Self-adjuvant and multi-valency	The known protein antigen sequence	[18, 19]
	Self-assembled long peptide	Nanoparticles	Intravenous vaccination, accumulation at the lymph node, and increased uptake by APCs	The need for adjuvants, the known protein antigen sequence	[21]
	Stimuli-induced self-assembly system	Nanoparticles	Cytoplasm delivery of antigens	The need for carriers	[23]
Peptide-based hydrogels	D-amino acid self-assembled peptides	Nanofibers	Enhanced immune response and persistence	Local treatment, the known protein antigen sequence	[24–28]
Novel self-assembled peptide-based vaccines	Functional self-assembled peptides	Nanofibers, microfibers	Without the aid of external antigens and other adjuvants, and generate tumor vaccines <i>in situ</i>	Local treatment	[29–31]

Summary

Despite many preclinical studies and advantages of the current strategies, some issues are in need of resolution (Table 1). A successful vaccine system must drain to the lymph nodes, capture immune cells, and induce the following immune responses [32, 33]. For self-assembled nanomaterials, it is necessary to understand the fate of self-assembled nanomaterials *in vivo* and the interactions between assemblies and immune cells. Moreover, current vaccine platforms focus mainly on antigenic epitopes with known amino acid sequences [34]. More effort should be devoted to developing tumor vaccines *in situ* because of the tumor heterogeneity and the need for personalized tumor vaccines.

Currently, no self-assembly peptide-based vaccines are commercially available. The clinical translation remains a challenge owing to the complexity of these building blocks, large-scale manufacturing, and uncontrollable modulation of the immune system. Moreover, the adverse effects and

pharmacokinetics (e.g., the timing and dosing of treatment) of self-assembled peptide vaccines need to be carefully examined before advanced clinical trials are launched. We propose several potential developing strategies for future investigation. New methods of prediction and screening of neoantigens, such as next-generation sequencing and data science, will provide a path for successful personalized tumor vaccines. In addition, innovation in vaccine delivery system enables self-assembled peptide vaccines to be spatiotemporally controlled in complex physical environments, thus allowing targeted delivery and reduced adverse effects. Because the chemo- or radio-therapy can cause ICD and enhance the immunogenicity of tumors, the combination of traditional therapy with self-assembled peptide vaccines is expected to augment the immunotherapy efficacy and produce better clinical outcomes. Given the high efficacy of self-assembly peptides and the non-cytotoxic property, we envision that multivalent self-assembled tumor vaccines will be clinically available in the near future.

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