Synergistic Effects of Acoustics-based Therapy and Immunotherapy in Cancer Treatment

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Abstract
Cancer is an intractable disease and has ability to escape immunological recognition. Cancer immunotherapy to enhance the autogenous immune response to cancer tissue is reported to be the most promising method for cancer treatment. After the release of damage-associated molecular patterns, dendritic cells come mature and then recruit activated T cells to induce immune response. To trigger the release of cancer associated antigens, cancer acoustics-based therapy has various prominent advantages and has been reported in various research. In this review, we classified the acoustics-based therapy into sonopyrolysis-, sonoporation- and sonoluminescence-based therapy. Then, detailed mechanisms of these therapies are discussed to show the status of cancer immunotherapy induced by acoustics-based therapy in quo. Finally, we express some future prospects in this research field and make some predictions of its development direction.

Keywords
Acoustics-based therapy, cancer treatment, immune response activation, immunotherapy.

Introduction
Cancer is a severe threat toward human health worldwide, and its high death rate has raised great concerns. In 2015, more than 4.2 million new cases and 2.8 million new deaths were projected to take place in China by the National Central Cancer Registry of China [1]. Thus, safe and efficient cancer therapies are very important. Because it is widely accepted that innate and acquired alternations in gene expression cause cancer, the enhancement of the abilities of the host immune system seems to be a powerful and biomedical safe method. Thus, cancer immunotherapy has been proposed as a long-term anti-cancer method to train or activate the immunological systems to recognize, conquer, and eliminate cancer cells [2]. By now, the anti-cancer effect of immunotherapy has been proven in various malignant cancer models and is expected to be widely used for its clinical process [3, 4].

In immunotherapy, the most important step is to trigger the anti-cancer immune responses of human’s immune system [5, 6]. The detailed mechanism of cancer immunotherapy is illustrated in Figure 1. Damage-associated molecular patterns (DAMPs), signals released after the damage brought about by cancer cells, are essential in the immune activation process of cancer [7]. In this process, dendritic cells (DCs) function as antigen-presenting cells and migrate toward cancer-draining lymph nodes after recognizing DAMPs [8]. Afterward, native T cells mature with the induction of antigens presented by DCs and initiate the immune responses [9]. The excited T cells automatically cycle in the human body and then trigger immunogenic cell death (ICD) after immunological recognition toward cancer cells [10].

Considering a noninvasive cancer treatment to fight against the local cancer and induce the release of DAMPs, chemotherapy and radiotherapy have been widely studied in clinical cancer treatment trails [11]. Although these traditional representative therapy methods have great ability to lead to cancer death, they simultaneously have severe side effects to the human body and decrease the immunity of patients [12, 13]. To cause local cancer death and to enhance patient compliance, photics-based cancer therapies have been widely studied for their good effect and low systemic toxicity. Only the tissues in light-focus regions are affected by photics-based cancer treatments.
Acoustics-based cancer therapies are derived from photics-based cancer therapies, but they additionally have a variety of advantages. They have a greater penetration and lower radiation damage, offering a better targeted effect toward cancer in deep tissues and organs. The acoustics-based treatment is also beneficial to the infiltration of drugs and immune cells and the release of DAMPs, which gives incomparable advantages compared with other synergistic immune treatments. Moreover, acoustics-based methods have low costs, great controllability, and high biomedical safety [14]. For the aforementioned considerations, we suppose that acoustics-based cancer treatments might be the most promising method for inducing ICD for synergistic cancer immunotherapy.

**Mechanisms of acoustics-based immunotherapy**

High-intensity focused ultrasound (HIFU) is a clinically used technology for local thermal ablation of cancer [15]. HIFU is a truly non-invasive therapeutic method, which is harmless to the intervening skin and tissues toward the target cancer. However, the energy level of the focused region is sufficient to cause instantaneous cell death [16]. In HIFU, ultrasound is focused on cancer sites, and the local temperature is rapidly increased to cause blockage of nutrient vessels at the targeted area and coagulative necrosis of the cancer tissue (Figure 2). This temperature-based treatment...
method is also called sonopyrolysis. Although thermal effect offers major impact in sonopyrolysis, the cavitation effect of HIFU gives rise to the collapse of the membrane structure at the targeted region [17]. Compared with systemic chemotherapy, local HIFU treatment has greater accuracy in positioning and lower side effects. After the destruction of the primary cancer by the thermal effect of HIFU, tissue fragments are released from the cancer region and are recognized by the immune system, causing immune activation in vivo [18]. Although some immune responses are observed in HIFU-based cancer thermal ablation therapy, recurrence or systemic metastasis after treatment is often observed [19]. This is due to the failure of the systemic anti-cancer adaptive immunity to activate in this treatment. Meanwhile, the blood supply in the cancer region is reduced after the destruction of cancer tissues and vessels structures, which greatly inhibits the infiltration of circulating immune cells into the cancer site [20].

Therefore, a novel strategy of activating the immune response toward cancer without completely destroying the cancer structure is required. Low-intensity focused ultrasound (LIFU) has low thermal damage, can be repeatedly operated, and can maintain the structural integrity of cancer. The ultrasonic intensity in LIFU is relatively low, which is lower than or similar to that used in diagnosis [21]. It is a new kind of noninvasive and effective method, which has a broad application prospect [22]. The thermal effect by LIFU is not significant, and the probable mechanism of LIFU-based cancer therapy is acoustic cavitation. That is, the irradiation of LIFU interacts with surrounding aqueous solutions and produces high-intensity pressure waves and shockwaves locally, accompanied by high-speed micro-jet and free radicals. These strong waves may result in DNA rupture, cell necrosis, tissue hemorrhage, and damage [23]. The cavitation effect can be further divided into two components, sonoporation effect and sonoluminescence effect, and their detailed mechanisms are presented in Figure 2.

For the sonoporation effect, the mechanical action of ultrasonic waves is utilized, and a series of biological changes are triggered at targeted cancer sites. The intense pulses of ultrasound can induce temporary pores in the cell membrane as well as enhance exchange of substance [24]. Cavitation nuclei, such as microbubbles (MBs), are combined with LIFU to increase adjustability, reduce cavitation threshold, and enhance cavitation effect [25]. The proper adjustment of cavitation parameters can spur local inflammation and enhance local blood supply; thus, further infiltration of immune cells and drugs in cancer sites can be achieved after LIFU irradiation [26]. In addition, the cavitation effect is also beneficial to the production of micro-jet flows by sonoporation, which can destroy the membrane structure of cancer cells, promoting the production and release of tumor-associated antigens (TAAs) [27]. Because of the aforementioned functions, sonoporation effect is beneficial to the exchange of substances in cancer sites.

Treatment through sonoluminescence effect is also regarded as sonodynamic therapy (SDT). This path is due to the generation of luminol light during ultrasonic irradiation at stable or inertial cavitation [28]. Sonosensitizers are activated by this photonic energy to produce electrons (\(e^+\)) and holes (\(e^-\)), and finally, reactive oxygen species (ROS) is formed at targeted cancer sites [29]. When the oxidative stress level of cancer cells exceeds the cellular limit and disrupts the balance, cancer cells are wiped out and immunogenic signals are released from primary cancer regions [30]. Later on, acquired immunity is activated after recognition and finally contributes to the ICD of cancer. The major mechanisms of acoustic-based immunotherapy and their derived biochemical effects and applications in research are presented in Table 1.

### Sonopyrolysis-induced Immunotherapy

Based on previous studies of various cancer ablation methods (laser irradiation, radiofrequency, microwaves, etc.), we have summarized the basic regulation of local temperature increase-induced cell death. That is, when temperature is >56°C, coagulative cell necrosis immediately takes place at targeted cancer sites [18]. In cancer treatment of HIFU ablation, the temperature of focused center can immediately reach approximately 100°C, which can induce in vivo protein denaturation, cell shrinkage, membrane rupture, and finally, coagulative necrosis of cancer cells [31]. At the same time, the surrounding cancer blood vessels also exhibit structural and functional changes or even immediate destruction after thermal ablation, leading to lack of nutrition and oxygen in the cancer region. This effect also contributes to cancer necrosis and further immune response [32]. The detailed mechanism is illustrated in Figure 3.

Xia et al. studied the anti-cancer cellular immunity induction of H22 hepatic cancer-bearing mice after HIFU irradiation [33]. A total of 105 mice were randomly divided into

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three groups and were treated with HIFU or with sham HIFU or were not treated. After 14 days, mice were sacrificed, and a significant function variation (p<0.001) of T lymphocytes was determined by measuring interferon γ (IFN-γ) and tumor necrosis factor α (TNF-α) secretion. Furthermore, the frequency of the major histocompatibility complex class I tetramer/CD8-positive cells and the survival rates of HIFU group were significantly higher (p<0.01) than other two groups, thus demonstrating that HIFU ablation can trigger immune responses in cancer-bearing mice by activating cancer-specific T lymphocytes. A similar model was set up by Ran’s group [34], and they reported that the CD3+ and CD4+ levels and CD4+/CD8+ ratio in peripheral blood significantly (p<0.05) increased in HIFU-treated group. To further prove the mechanism of HIFU-triggered immune response, Deng et al. used cancer debris derived from the H22 cancer model after HIFU ablation as activating signals [35]. Bone marrow–derived DCs were loaded with debris and were used to immunize mature mice to trigger the acquired immunity. The treated mice were rechallenged by H22 cancer. Similar to the HIFU-treated group, the number of mature DCs and splenic lymphocytes in the cancer debris–treated group significantly increased (p<0.01). The secretion of interleukin 12 (IL-12) and interferon γ was also higher in these two groups than in the control group, implying that DCs were activated by cancer debris and therefore initiated the acquired anti-cancer immune response in the H22 cancer re-challenge.

Sethuraman et al. used novel calreticulin-based nanoparticles (CRT-NPs) in combination with HIFU to upregulate CRT expression in solid cancer and improve anti-cancer effects after cancer ablation [36]. Their results showed that functional CD4+ and CD8+ cytotoxic T cells specific to melanoma TRP-2 and M1 phenotype macrophage cells expanded in the re-challenge assay, and the growth of B16 melanoma was suppressed by over 85% with controls and over 50% with CRT-NPs or HIFU-alone groups. Kheirolomoom et al. studied the activatable delivery of temperature-sensitive liposomes after HIFU irradiation [37]. In this work, liposomes could rapidly release free doxorubicin (DOX) and type I interferons in the vasculature of cancer lesions after ultrasound induction. The release of drugs in liposomes initiates cancer necrosis and viral-like immune response, and cancer eradication was observed in both treated and distant tumors of mice.

Although thermal effect is the major mechanism in HIFU-based cancer therapy, non-thermal mechanical destruction of the cancer region can also trigger immunomodulated effect [38]. This method mainly appears to work with acoustic cavitation. At high acoustic pressure, the positive (compression) peak of the sinusoidal wave travels faster than the negative (rarefaction) peak of the wave due to the non-linear acoustic wave propagation, resulting in shockwaves when the penetration of tissue by ultrasound occurs [39]. During HIFU treatment, boiling MBs are induced by thermal effects, and the cavitation effect takes place when the negative pressure is high enough to overcome the surface tension of the gas nuclei in the cancer tissue. More examples are presented in the next section.

**Sonoporation-induced immunotherapy**

As sonopyrolysis cancer therapy inevitably causes injury or damage toward surrounding tissues, LIFU, which is mainly
based on the mechanical effect of acoustic waves, seems to be feasible alternative to HIFU. Unlike thermal-induced MBs in cancer ablation, LIFU-based cavitation relies on the gas MBs injected into vessels [40]. Under LIFU treatments, MBs non-linearly oscillate and expand more than twice the initial size and then rupture, causing high acoustic pressure (several thousand atmospheres) in a local cancer area [27]. This mechanism contributes to the temporary loosening of the dense cancer stroma, which is beneficial for drug delivery and immune cell infiltration of the cancer cells. Additionally, severe sonoporation enables the formation of pores on the cell membranes of cancer, and DAMPs may be easily released and monitored by the immune system, resulting in more drastic immune response. The mechanism of sonoporation-induced immunotherapy is shown in Figure 4.

Chen et al. explored the enhancement of IL-12 delivery across the blood–brain barrier and the subsequent immunity promotion after induction of LIFU [41]. Combined with MBs, focused ultrasound could temporarily and locally open the blood–brain barrier. Evaluation of the immunological response to IL-12 delivery showed that, compared with IL-12 alone, local IL-12 deposition in brain cancer was 2.87-fold higher and patients had 42.9% survival improvement. In another research, perfluoropropane gas-entrapping liposomes were used by Suzuki et al. to deliver ovalbumin (OVA), a model cancer antigen, to DCs [42]. Their results indicated that exogenous antigens can also be recognized after delivery into the cytosol of DCs, and complete rejection of endogenous E.G7-OVA cancer was exhibited in cancer-bearing mice. Dewitte’s group studied the improved mRNA delivery to DCs and immunoregulation effect by TriMix MBs [43]. Cancer antigen mRNA was loaded in MBs to form in vivo DC vaccines, and transfection of DCs was triggered by focused ultrasound. Antigen-specific T cells were induced by sonoporation, and specific lysis of antigen-expressing cells occurs. Then, 30% of experimental animals displayed complete cancer regression, and long-term antigen-specific immunological memory was observed. The LIFU-induced sonoporation effect can also facilitate the transfection of DNA to cancer and stromal cells [44, 45], thus enhancing the secretion of cancer-related antigens for immunotherapy. In both works, transfection inhibited cancer growth in vivo, and cytotoxic immune cells were recruited to remove primary and distant cancers.

Anti-programmed cell death protein 1 (PD-1)/programmed death-ligand 1 (PD-L1) antibodies are typical checkpoint inhibitors that can block the binding of PD-1-inhibited molecules to their receptor on cytotoxic T cells. The procedure of cancer immunotherapy activated by ultrasound combined with PD-1 inhibitors is shown in Figure 5. Zheng et al. studied the effect of ultrasound irradiation in DOX- and anti-PD-1–based combined chemo-immunotherapy in the model of B-cell lymphoma mice [46]. The results confirmed that ultrasound irradiation is beneficial for the targeted accumulation and controllable release of DOX in cancer site, and a significant inhibitory effect was observed on cancer irradiated with ultrasound and inhibited with PD-1 checkpoint. Li’s group used anti-PD-L1 monoclonal antibody–conjugated lipid MBs as cancer-targeted carriers to deliver docetaxel, a chemotherapeutic drug for non-small cell lung cancer [47]. Combined with ultrasonic irradiation, docetaxel-loaded MBs showed a higher cellular uptake and increased rate of cellular apoptosis. In addition, enhanced G2-M arrest rate in cancers were observed, which was positively correlated with PD-L1 expression in cancer cells, indicating the synergistic chemo-immunotherapy. Bulner’s group set up a colorectal cancer cell line CT26 model and proposed the possibility of synergistic effect of chemotherapy-based MBs and PD-1–based immunotherapy [48]. In this study, enhanced cancer growth inhibition and increased animal survival relative to monotherapy method was proven.

**Sonoluminescence-induced immunotherapy**

Sonoluminescence effect is often used in SDT, which is a local treatment method derived from photodynamic therapy (PDT) [49]. In PDT, photosensitizers are exposed to a specific wavelength of light and activated from a ground state to an excited state. When they return to the ground state, the released energy generates ROS, such as free radicals and singlet oxygen, to trigger local cellular apoptosis and necrosis. A similar mechanism is exhibited in SDT, and sonosensitizers are used as mediums to utilize the ultrasound energy for ROS generation at cancer sites [50]. Compared with PDT,
SDT has the capability to conquer the deeper in situ cancer and cause fewer side effects such as thermal toxicity [31]. The details of the anti-cancer immune responses induced by noninvasive SDT and synergistic immunotherapy are shown in Figure 6.

Zhang et al. used the human liver cancer cell line Hep3b to set up an in vivo mice cancer model and studied the HiPorfin-induced SDT effect and its subsequent immunological memory triggering [51]. A significant difference (p<0.001) in cellular ROS level and apoptotic rate was observed between the experimental and control groups, and the cancer volume in cancer-bearing mice in the experimental group was relatively controlled. Later, through immunohistochemical analysis of cancer tissue and cancer re-challenging assay, the cured mice were completely resistant to the re-challenge and showed high expression levels of CD4, CD8, and CD68, and low expression levels of CD163, CD25, and FoxP3, suggesting the activation of T cells during SDT. Xie’s group used phase-shifted nanoparticles loaded with perfluoropentane, indocyanine green, and oxaliplatin to augment the anti-cancer immunological effects by synergistic chemotherapy, PDT, and SDT [52]. Under the induction of near-infrared light and ultrasound, the anti-cancer effects were determined in cellular and in vivo studies. In addition, a significantly enhanced expression of DAMPs was observed in cancer model mice, thus indicating a long-term immune response effect.

Based on this mechanism, a mass of multifunctional sensitizers has been developed for sonoluminescence-induced immunotherapy in various research. Yue’s group co-loaded sonosensitizer hematoporphyrin monomethyl ether and immune adjuvants TLR7 agonist R837 in liposomes to elicit an immune response [53]. Combined with PD-L1 injection, this platform showed long-term immunological memory through PD-1/PD-L1 blockage, elimination effect of the initial and distant cancers, and protection against cancer re-challenge. Um et al. synthesized chlorin e6–based amphiphilic sonosensitizers and loaded PFP to form ultrasonic responsive nanobubbles [54]. After exposure to ultrasound, the generation of ROS induced cancer necroptosis. Simultaneously, perfluoropentane nanobubbles showed sonoporation effect and active DAMPs were easier to release due to the disintegration of cell membrane, thus improving anti-cancer immune response by the maturation of DCs and activation of CD8+ cytotoxic T cells. Zhao et al. reported a biomimetic decoy to enhance ICD-mediated immunotherapy by synergistic chemo-sonodynamic therapy [55]. Hybrid red blood cell and platelet membrane were used to enhance the in vivo biosafety, circulation time, and cancer-targeted ability of nanoplatforms. Although loaded chlorin e6 was able to produce ROS under ultrasonic irradiation, the carried tirapazamine could function at hypoxic cancer microenvironment and achieve augmented cancer necrosis and DAMP release effect.

**Conclusion and prospects**

Immunotherapy, a method to enhance autogenous acquired immunity, offers great opportunity for the eradication of cancers. One critical step is to capture the DAMPs of cancer tissue by DCs and induce its maturation process. Acoustics-based cancer therapies use ultrasound irradiation to trigger cancer cell apoptosis or necrosis to induce the release of DAMPs, thus inducing the presentation of TAAs to DCs. Compared with other therapies,
acoustic-based therapy has unique advantages of low costs, great controllability, high biomedical safety, deeper penetration, lower irradiation damage, better infiltration of drugs, immune cells, and augmented release of DAMPs. Therefore, it is a very promising method for synergistic cancer immunotherapy.

In this review, we provided three major mechanisms of acoustics-based therapy: sonopyrolysis effect, sonoporation effect, and sonoluminescence effect. Cutting-edge research on synergistic cancer treatment of immunotherapy with these three therapeutic methods has been collected and discussed. Among these strategies, sonopyrolysis-induced immunotherapy utilizes thermal effect of focused ultrasound to kill cancer cells, which has relatively great side effects to common cells. In contrast, because of the transient function time and limited impact region of microjet and ROS, immunotherapy induced by sonoporation and sonoluminescence effect has greater biosafety. In addition, the overall structure of the cancer region is retained, which is beneficial to the permeation of immunological cells and immunity activation, reducing recurrence or systemic metastasis after treatment. In comparison, sonoporation-induced immunotherapy is often associated with chemotherapy to produce DAMPs, whereas sonoluminescence can eliminate cancer cells and induce immunotherapy. Furthermore, several concerns should be considered before clinical trials, as summarized in Figure 7 and discussed as follows.

**Interaction process studies**

The cancer therapy ability of acoustics-based therapy has been widely verified both in vitro and in vivo, but the therapeutic effect is mostly based on researchers’ repeated trials, which is unforeseeable and cumbersome. It is necessary for researchers to look for detailed mechanisms. Up to now, despite many hypotheses that have been put forward, such as thermal effect and cavitation effect, further understanding in physicochemical process remains to be studied [56]. Furthermore, the mechanism of triggering of cancer immunotherapy by acoustics-based therapy is not proven. Research is mainly focused on the detection of DCs’ final maturation, which lacks the monitoring of the release of DAMPs. In our viewpoint, to determine the augmenting antigen–presenting effect toward DCs, the DAMPs level before and after cancer acoustics-based therapy have to be evaluated. This is a complex field involving macroscopically mechanical interactions and microscopically molecular interactions, which requires interdisciplinary knowledge, such as physical acoustics, analog simulation, material science, and biomedical science.

Figure 6  Schematic illustration of anti-cancer immune responses induced by noninvasive SDT with immune-adjuvant–contained nanosonosensitizers and checkpoint blockade for cancer immunotherapy. Reproduced with permission [53]. Copyright 2019, Springer Nature Limited.
**Sonosensitizer optimization**

For SDT, organic and inorganic sonosensitizers have already been utilized for cancer ROS level augmentation, but several crucial problems of sonosensitizers remain to be solved. First, most sonosensitizers are hydrophobic, which are insoluble in aqueous solutions or buffers, leading to the low biocompatibility, fast elimination, and short circulation time in vivo. Nanocarrier loading or surface modification may be two major resolutions. Nanocarriers, especially mesoporous and hollow carriers, can be used to load sonosensitizers based on hydrophobic interaction [29]. Therein, porphyrin-based metal–organic and covalent–organic frameworks are two promising nanostructures to avoid self-quenching [57]. For surface modification, hydrophobic sensitizers can be functionalized with hydrophilic chains to form amphiphilic porphyrin molecules [58]. Supramolecular self-assembly interaction takes place in aqueous solution, and porphyrin-based vesicles or micelles are then obtained for cancer-targeted sonosensitizer delivery [59].

**Tumor microenvironment–related smart platforms**

Smart nanoplatforms have been raised as a topic for cancer-targeted therapy. These designs are mainly focused on local application of nanomaterials and enhanced therapy effect only in cancer sites while staying out of operation during body circulation, leading to augmented delivery efficiency and lower injection dose of sensitizers. Low pH [60], hypoxia [61], high ROS [62], and glutathione level [63] are typical characteristics of the tumor microenvironment (TME), which are promising in the design of TME-responsive systems. These smart platforms give rise to acoustics-based therapy and activate immune response after delivery to targeted cancer sites. Nanoplatforms are also used to regulate or remodel TME, that is, the physicochemical property and immune property of TME are adjusted. The relief of cancer hypoxia and local glutathione depletion are beneficial to augmented local ROS production, thus promoting the release of cancer DAMPs. Meanwhile, the immune TME can be remodeled by immune cells infiltration enhancement, checkpoint inhibition, macrophage phenotype regulation, and cytokine levels adjustment. As ultrasound-mediated bubble sonoporation is an efficient method for the infiltration of macrophages and DCs, the activation of cancer DAMPs recognition and immune response can be thus improved to achieve better cancer therapy efficacy.

**Biosafety evaluation**

Biocompatibility is a crucial property of biomaterials; thus, in vivo biosafety evaluation is necessary for clinical trials of synergistic therapy strategies. However, recent researchers only evaluated in vivo toxicity for less than 30 days, thus lacking long-term monitoring. If possible, the biosafety evaluation time should be prolonged. Moreover, aside from mice, more high-class animal models, such as rabbits and monkeys, should be established in some advanced studies.

**Conflicts of interests**

The authors declare that they have no competing interests.

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